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IN AND FOR THE COUNTY OF SNOHOMISH

IN THE SUPERIOR COURT OF THE STATE OF WASHINGTON

IN RE THE RECALL OF MARK ROE, SNOHOMISH COUNTY PROSECUTING **ATTORNEY** 

SCSC 15-2-02357-6

MEMORANDUM OF MARK K. ROE IN OPPOSITION TO THE MOTION TO DETERMINE SUFFICIENCY OF RECALL CHARGES, ETC.

Mark K. Roe, the duly elected Prosecuting Attorney of Snohomish County ("Mr. Roe" or the "Prosecuting Attorney"), by and through his attorney of record, submits this memorandum challenging the sufficiency of the Recall Petition ("Petition") brought by Anne Block and Noel Fredrick ("Petitioners").

#### I. INTRODUCTION

The Petition filed against Snohomish County Prosecuting Attorney Mark Roe is the latest in a long line of unsuccessful lawsuits and recall attempts filed by petitioner Anne Block against various elected officials. Like her previous attempts, this Petition should be

<sup>&</sup>lt;sup>1</sup>This is Ms. Block's second attempt to recall Mr. Roe. Her first recall petition against Mr. Roe was dismissed out of hand for factual and legal deficiency. Ms. Block tried two times, without success, to recall former County Executive Aaron Reardon. See In Re the Recall of Aaron Reardon, Skagit County Superior Court Nos. 12-2-01365-1 and 12-2-02168-9. Block also tried, without success, to recall former Sheriff John Lovick from office. See In Re the Recall of John Lovick, Snohomish County Superior Court No. 13-2-04379-1. Block has also filed unsuccessful petitions for recall against other local officials: In Re the Recall of Jo Beavers, Snohomish County Superior Court No. 11-2-09873-5; 12-2-04108-1; 12-2-05057-9 (unsuccessful attempts to recall the Mayor of Gold Bar); In re Recall of Christopher Wright, Snohomish County Superior Court No. 12-2-04107-3

dismissed. The allegations in this Petition are based solely on Petitioners disagreement with a discretionary decision made by the Prosecuting Attorney – which is not a legally sufficient basis for recall. Moreover, and no less importantly, the Petition itself lacks any factual or legal basis to support a recall. The Petition should be dismissed in its entirety.

### II. BACKGROUND

On February 18, 2014, Anne Block ("Ms. Block" or "Petitioner") filed a Complaint in the United States District Court alleging that employees of Snohomish County and the City of Gold Bar participated in a conspiracy to retaliate against her for exercising her First Amendment right to make public records requests, post articles about government employees on her online "blog," and filing numerous petitions to recall elected officials. *Declaration of Lyndsey M. Downs*, at ¶ 3; Ex A. Ms. Block's Complaint named Kevin Hulten personally. *Id*.<sup>2</sup> Ms. Block generally alleges that Mr. Hulten conspired with others to retaliate against her, including creating a "Wikipedia attack piece" which included statements about her that were not true. *Id*. After receiving the Summons and Complaint, Mr. Hulten sought legal defense from the County, pursuant to SCC 2.90.090, which

(unsuccessful attempt to recall a City of Gold Bar Council member). Ms. Block previously filed suit against Snohomish County based on a claim that she did not receive a complete response to a public records request. See Anne Block v. Snohomish County, Department of Emergency Management, Skagit County Superior Court No.11-2-01357-2. The court granted the County's motion for summary judgment and dismissed the suit, and subsequently denied Plaintiff's CR 60 motion to vacate the judgment. Id. Plaintiff appealed both rulings, but later dismissed her appeals.

<sup>&</sup>lt;sup>2</sup> Kevin Hulten worked for former Snohomish County Executive Aaron Reardon from January 18, 2011, to May 10, 2013. On March 1, 2013, Mr. Hulten was placed on administrative leave because allegations surfaced that Mr. Hulten was harassing Snohomish County officials and employees – including Mr. Roe -via anonymous public records requests. To avoid any conflict of interest, the criminal harassment investigation was referred to and handled by the King County Sheriff. *Declaration of Mark K. Roe (Roe Decl.)*, ¶3-5.

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requires the Prosecuting Attorney to determine whether an employee is entitled to County legal defense. *Roe Decl.*, ¶8.

Because Mr. Roe had been a target of Mr. Hulten's public records requests, Mr. Roe determined that Mr. Hulten's request posed a potential conflict of interest. Roe Decl., ¶9. Therefore, Mr. Roe referred Mr. Hulten's request to Randall Gaylord, the San Juan County Prosecuting Attorney. Id.; Declaration of Randall K. Gaylord (Gaylord Decl.). ¶3 Mr. Gaylord reviewed Ms. Block's Complaint and Amended Complaint, relevant provisions of state law including chapter 4.91 RCW, Snohomish County Code provisions including SCC 2.90.090, relevant case law, the job description for Mr. Hulten, certain personnel policies, newspaper articles referenced in the Complaint and Amended Complaint, and other online social media posts, including the Wikipedia page mentioned in the Complaint and Amended Complaint, and interviewed Mr. Hulten. Gaylord Decl., ¶5. Ultimately, Mr. Gaylord determined that Mr. Hulten was entitled to County defense pursuant to SCC 2.90.090. Gaylord Decl., ¶6. Mr. Gaylord notified Mr. Roe of his decision on August 7. 2014. Gaylord Decl., ¶8; Roe Decl., ¶10. Having previously determined that there was a potential conflict of interest in representing Mr. Hulten, as discussed above, Mr. Roe authorized a contract for outside counsel, attorney John Kugler, to provide independent legal defense services for Mr. Hulten. Roe Decl., ¶11; Ex A.

On January 29, 2015, Anne Block and Noel Frederick, Snohomish County residents, filed a petition seeking the recall of Snohomish County Prosecuting Attorney Mark Roe. The Petition alleges that Mr. Roe committed an act of misfeasance, malfeasance, and/or violated his oath of office. Specifically, the Petition sets forth the allegations as follows:

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In August 2014, Snohomish County Prosecutor Mark Roe violated RCW 4.96.010 when he allocated and entered into an agreement that violates RCW 4.96.010 when he used Snohomish County taxpayers monies to fund a convicted criminal and former Snohomish County Executive employee Kevin Hulten.

To the extent that the criminal activities (of names persons) were neither part of their official duties nor were actions in good faith, the Snohomish County Prosecutor is well aware that providing legal counsel at taxpayers' expense is outside the scope of RCW 4.96.010.

On January 30, 2015, the Snohomish County Auditor verified that Ms. Block and Mr. Frederick are registered voters of Snohomish County and forwarded the Petition to the Washington State Attorney General's Office (AG's Office). *See* RCW 29A.56.130(1)(b) (If the prosecuting attorney is the officer whose recall is demanded, the attorney general is the preparer of the ballot synopsis.) The AG's Office thereafter drafted a Ballot Synopsis of Recall Charge Against Mark K. Roe. The AG's Ballot Synopsis formulated the Petition's allegations into two charges:

- 1. That in August 2104, Mr. Roe violated RCW 4.96.010 when he allocated and entered into an agreement to use Snohomish County taxpayer monies to fund legal counsel for former Snohomish County Executive employee Kevin Hulten, who plead guilty to criminal evidence tampering on July 7, 2014.
- 2. That the criminal activities of Kevin Hulten were not part of his official duties and were not actions in good faith, and Mr. Roe is aware that providing legal counsel at the taxpayers' expense is outside the scope of RCW 4.96.010.

On February 11, 2015, the AG's Office filed a Petition to Determine the Sufficiency of Recall Charges and Approval of Ballot Synopsis with the Snohomish County Superior

Court. The AG's Office also filed a Memorandum of Law Accompanying Petition, which provides a general summary of the law of recall in Washington.<sup>3</sup>

#### III. ARGUMENT

#### 1. The Recall Petition Is Neither Legally Nor Factually Sufficient.

While the right to recall an elected official is a constitutional right in Washington State, the right to recall an elected official can only be exercised on the basis of sufficient cause, not simply because a voter desires to remove an elected official from office. In order to protect public officials from harassment, recall charges must be both: (1) legally, and (2) factually sufficient. *In re Ackerson*, 143 Wn.2d 366, 371, 20 P.3d 930 (2000). Judicial review of the petition serves as the "gateway function" to determine the sufficiency of a petition and to protect public officials from petitions based on "frivolous or unsubstantiated charges." *In re Recall of Kast*, 144 Wn.2d 807, 813, 31 P.3d 677 (2001); *see also In re Recall of Reed*, 156 Wn.2d 53, 57, 124 P.4d 279 (2005); *In re Recall of Robinson*, 156 Wn.2d 704, 707, 132 P.3d 124 (2006). Hence, to be sufficient, a judge must find that the charges in a recall petition are both legally and factually sufficient. *In re Recall of Sandhaus*, 134 Wn.2d 662, 668, 953 P.2d 82 (1998).

Legal sufficiency means that the charge defines substantial conduct amounting to misfeasance, malfeasance, or a violation of the oath of office. *In re Recall of Wasson*, 149 Wn.2d 787, 791, 72 P.3d 170 (2003). "Misfeasance" and "malfeasance" in office both mean "any wrongful conduct that affects, interrupts, or interferes with performance of official

<sup>&</sup>lt;sup>3</sup> Mr. Roe adopts and incorporates the summary of law on recall contained in the Office of Attorney General's Memorandum of Law filed in this proceeding.

duty." RCW 29.56.110(1). "Misfeasance" in office also includes the performance of an official duty in an "improper manner." "Malfeasance" in office includes the commission of an unlawful act. RCW 29A.56.110(1)(a) and (b). "Violation of the oath of office" means the "neglect or knowing failure by an elective public officer to perform faithfully a duty imposed by law." RCW 29A.56.110(2).

An elected official cannot be recalled for appropriately exercising discretion granted to him or her by law. *In re Recall of Bolt*, 177 Wn. 2d 168, 174, 298 P.3d 710 (2013). "If a discretionary act is the focus of the petition, the petitioner must show that the official exercised discretion in a manifestly unreasonable manner." *Jewett v. Hawkins*, 123 Wn.2d 446, 448, 868 P.2d 146 (1994)(citing *Chandler v. Otto*, 103 Wn.2d 268, 274, 693 P.2d 71 (1984) and *Greco v. Parsons*, 105 Wn.2d 669, 672, 717 P.2d 1368 (1986)). In contrast, mere disagreement - however loud or noxious - with a discretionary decision is legally insufficient to support a recall. *Matter of McNeill*, 113 Wn.2d 302, 308, 778 P.2d 524 (1989); *Jewett*, 123 Wn.2d at 450-51.

To be factually sufficient, a petitioner must allege "facts that establish a prima facie case of misfeasance, malfeasance, or violation of the oath of office." *Cole v Webster*, 103 Wn. 2d 280, 288, 692 P.2d 799 (1984). The charges as a whole must identify to the electors and to the official being recalled acts or omissions that without justification support recall. *Chandler*, 103 372 Wn.2d at 274, 693 P.2d 71. This prima facie showing ensures that both the voters and the officials can make an intelligent decision on the recall charge. *Teaford v. Howard*, 104 Wn.2d 580, 586-87, 707 P.2d 1327 (1985); *Ackerson* 143 Wn.2d at 372.

## A. The Petition is Legally Insufficient Because it Relates to the Exercise of Discretion Vested in a Prosecuting Attorney.

The allegations in the Petition (and formulated as Charges 1 and 2) are by definition legally insufficient because they are based solely on the Prosecuting Attorney's discretionary decision to represent a defendant county employee.<sup>4</sup> Pursuant to RCW 4.96.041 and SCC 2.90.090, the Snohomish County Prosecutor is vested with the authority to authorize defense of an employee (or former employee) in an action or proceeding brought against that individual. RCW 4.96.041(1) provides:

Whenever an action or proceeding for damages is brought against any past or present officer, employee, or volunteer of a local governmental entity of this state, arising from acts or omissions while performing or in good faith purporting to perform his or her official duties, such officer, employee, or volunteer may request the local governmental entity to authorize the defense of the action or proceeding at the expense of the local governmental entity.

A local governmental entity may create a procedure to determine whether the acts or omissions were within the scope of official duties or in good faith purported to be within those duties. RCW 4.96.041(2). If they were, the request will be granted and the "necessary expenses of defending the action or proceeding shall be paid by the local governmental entity." *Id*.

Chapter 2.90 SCC is the Snohomish County policy implementing RCW 4.96.041. SCC 2.90.090(1) provides:

Whenever any action or proceeding is brought against any county employee, officer, or volunteer, who is not an independent contractor, or

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<sup>&</sup>lt;sup>4</sup>As discussed below, to the extent that the Petition is not clear about the conduct that it alleges is wrongful, (is the allegation based on the act of determining that Mr. Hulten is entitled to County defense or the act of authorizing the agreement for outside counsel to provide such County defense for Mr. Hulten) it is factually insufficient.

against such person and the spouse and/or marital community of any such person, arising from acts or omissions of that person while performing or in good faith purporting to perform his or her official duties, the county shall, upon request, authorize defense of the action or proceeding at county expense in accordance with this section. Snohomish County shall defend, indemnify, and hold harmless said protected personnel, subject to conditions and limitations of this chapter and RCW 36.16.134, from all costs, judgments or other civil liabilities, except punitive damages. This duty shall not apply to criminal, infraction or other non-civil proceedings or liabilities or where a lawsuit arises out of use of his or her personal vehicle by a county officer, employee or volunteer.

This provision, however, is subject to the limitations and process set forth in SCC 2.90.090(2) - (4), which require an individual seeking legal representation at the expense of the County to apply to the Prosecuting Attorney for County defense. SCC 2.90.090(4) expressly authorizes the Prosecuting Attorney to review the application and determine whether the individual requesting representation is a county officer, employee or volunteer and that the action arises out of acts or omissions performed or in good faith purported to have been performed in the course of his or her official duties. SCC 2.90.090(4) provides:

The prosecuting attorney or his or her designee shall review the application for representation and if the prosecuting attorney or his or her designee determines that the individual requesting representation is a county officer, employee or volunteer and that the action arises out of acts or omissions performed or in good faith purported to have been performed in the course of his or her official duties, the prosecuting attorney shall authorize representation at county expense.

In Colby v. Yakima County, 133 Wn. App 386, 136 P.3d 131 (2006), the court upheld the Yakima County Prosecuting Attorney's determination that certain actions of a sitting district court judge were not performed in good faith or within the scope of his official duties. Through its prosecuting attorney, Yakima County had direct legislative authority to make that determination. See YCC 2.98.030 (YCC 2.98.030 empowers the

Yakima County Prosecuting Attorney to determine whether an action arises out of acts or omissions performed in good faith or purported to have been performed in good faith by a county employee or official.) It is not the court's function to "second guess the prosecuting attorney's determination following such delegation of legislative authority." *Colby*, 133 Wn. App at 391.

Case law and state and local law are all clear -- the Snohomish County Prosecuting Attorney is vested with broad discretion to determine, based on consideration of individual facts and circumstances, whether an action arises out of acts or omissions performed in good faith or purported to have been performed in good faith by an employee. If the Prosecuting Attorney determines that the employee acted or purportedly acted in good faith then "the prosecuting attorney shall authorize representation at county expense." SCC 2.90.090(4).

In this case, because Mr. Hulten sent multiple public records act requests in an attempt to harass Mr. Roe, the potential conflict of interest created by Mr. Hulten's request for County defense required Mr. Roe to refer that determination to a disinterested party. *Roe Decl.*, ¶9. Accordingly, Mr. Gaylord, acting on Mr. Roe's behalf, reviewed Ms. Block's Complaint and Amended Complaint, relevant provisions of state law including chapter 4.91 RCW, Snohomish County Code provisions including SCC 2.90.090, relevant case law, the job description for Mr. Hulten, certain personnel policies, newspaper articles referenced in the Complaint and Amended Complaint, online social media posts, including the Wikipedia page mentioned in the Complaint and Amended Complaint, and interviewed Mr. Hulten. *Gaylord Decl.*, ¶5. As a result of his review, Mr. Gaylord concluded that Mr.

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Hulten was entitled to defense at the County's expense. *Gaylord Decl.*, ¶6-7. Acting in accordance with Mr. Gaylord's conclusion, Mr. Roe authorized an agreement to provide Mr. Hulten with a County defense. *Roe Decl.*, ¶11. In this case, the discretion exercised by Mr. Roe, as delegated to Mr. Gaylord, was appropriate and within the requirements of the laws of the State of Washington and the Snohomish County Code and consistent with his ethical obligations under the Rules of Professional Conduct.

Plainly, Petitioners disagree with the Prosecutor's decision. However, the law recognizes that elected officials and citizens will not always agree. Disagreement over a discretionary decision is not sufficient grounds for recall. *McNeill*, 113 Wn.2d at 308. Because mere disagreement with a decision fails to establish that the decision was manifestly unreasonable or that Mr. Roe engaged in conduct that amounts to misfeasance, malfeasance or violation of his oath of office, the charges are legally insufficient.

# B. The Petition is Factually Insufficient Because it Does Not Allege Any Conduct Which, On Its Face, Constitutes Any Wrongdoing.

To be deemed factually sufficient, a petition must make a prima facie showing of misfeasance, malfeasance, or violation of the oath of office. In other words, the petition must allege an official duty and conduct that, if accepted as true, substantially affects, interrupts, or interferes with the performance of that duty. The Petition is factually insufficient because neither determining whether the employee is entitled to County defense nor authorizing an agreement for legal defense violates any duty or is otherwise wrongful.

The Petition alleges that Mr. Roe violated RCW 4.96.010 when he made the determination that Kevin Hulten was entitled to County defense in response to Ms. Block's lawsuit; however, RCW 4.96.010 does not impose any duty on Snohomish County or the

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Prosecuting Attorney. RCW 4.96.010 was enacted in 1967 to abolish the doctrine of sovereign immunity for the political subdivisions of the state. RCW 4.96.010(1) states:

All local governmental entities, whether acting in a governmental or proprietary capacity, shall be liable for damages arising out of their tortious conduct, or the tortious conduct of their past or present officers, employees, or volunteers while performing or in good faith purporting to perform their official duties, to the same extent as if they were a private person or corporation. Filing a claim for damages within the time allowed by law shall be a condition precedent to the commencement of any action claiming damages. The laws specifying the content for such claims shall be liberally construed so that substantial compliance therewith will be deemed satisfactory.

Thus, RCW 4.96.010 imposes a requirement on an individual seeking to maintain a tort claim against a local government entity. It does not create any affirmative duty on behalf of Snohomish County or the Snohomish County Prosecutor. Petitioners fail to provide any analysis or facts that explain how RCW 4.96.010 applies in this case. Because RCW 4.96.010 does not create a duty that Mr. Roe could have violated, the Petition is factually insufficient.

Furthermore, there are no facts to show that Mr. Roe's actions violated any actual duty or his oath of office. In addition to the authority provided in SCC 2.90.090, the Snohomish County Code explicitly authorizes the Prosecuting Attorney to "make appropriate arrangements for the representation of the county official or employee" when a possible conflict exists between the county employee entitled to representation" SCC 2.90.085(6). The Prosecuting Attorney also has the authority to award and approve all "contracts incidental to litigation for \$50,000 or less." SCC 3.04.140.

There is no dispute that Mr. Roe authorized the contract to provide legal services to Kevin Hulten in the lawsuit initiated by Ms. Block. This Petition is factually insufficient because authorizing the agreement was not wrongful, improper or unlawful. Furthermore, authorizing the agreement is not conduct that substantially affects, interrupts, or interferes with the performance of a duty. To the contrary, the authorization of the contract demonstrates that Mr. Roe acted appropriately and consistently with his duties set forth in in the Snohomish County Code. Because Petitioners have failed to made prima facie showing that Mr. Roe's actions substantially affect, interrupt, or interfere with his duties, the Petition should be dismissed.

### C. The Petition is Factually Insufficient Because it Does Not Include Detailed a Description Required by RCW 29A.56.110.

The Petition and Charges 1 and 2 are factually insufficient because they do not set forth a *concise statement* of the violations and a detailed description of the acts charged. RCW 29A.56.110; *Chandler*, 103 Wn.2d at 274. In order to be deemed factually sufficient, the charge must enable the public and the challenged public official to identify the "acts or failure to act which without justification would constitute a prima facie showing of misfeasance, malfeasance, or a violation of the oath of office." *In re Recall of Bolt*, 177 Wn.2d at 173–74 (internal quotation marks omitted) (quoting RCW 29A.56.110; *Kast*, 144 Wn.2d at 813). The Petition and charges fail in this regard.

<sup>&</sup>lt;sup>5</sup> The Petition is not concise. The first page does not reference any conduct attributed to Mr. Roe. Moreover, because both pages of the Petition include numerous grammatical errors, it is difficult to determine Petitioners' claims.

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The Petition alleges that in August 2014, Mark Roe "entered into an agreement ... to fund a convicted criminal ...". *Petition* at 2. The Petition does not specify what agreement was entered into; it merely states that there is an agreement. The Petition provides no information about the purpose of the agreement, the terms of the agreement, the parties to the agreement, or any other relevant information that would inform a voter about what Mr. Roe actually did. The Petition is also ambiguous in that it could be read as either asserting that Mr. Roe should have denied Mr. Hulten's request for County defense in response to Ms. Block's Complaint or that Mr. Roe should not have authorized the agreement for outside counsel to provide such County defense. These allegations lack the "precision and detail required to enable the electorate and a challenged official to make informed decisions" about the truth of the charges, and thus render the Petition factually insufficient. *See In re Recall of Wasson*, 149 Wn.2d at 791.

### 2. Consideration of the Adequacy of the Ballot Synopsis is Unnecessary.

As described above, the Petition and Charges 1 and 2 are legally and factually insufficient, which renders the determination on adequacy of the ballot synopsis moot. To the extent that the Court determines that it is necessary to address the adequacy of the ballot synopsis, the Petition's lack of detail and clarity renders the Charges as articulated in the ballot synopsis inadequate. The problem for the AG's Office in drafting the ballot synopsis, of course, is that the Petitioners omit critical details and fail to clearly describe the conduct they are challenging. Any ballot synopsis based on such a petition is inadequate, not as a result of drafting, but because the petition itself fails to identify for voters what conduct it is challenging.

In this instance, the AG's Office opted to provide two plausible interpretations of 1 the acts alleged in this Petition. However, by including plausible options, the ballot 2 synopsis does not necessarily reflect the allegation(s) stated in the Petition, and is therefore 3 4 inadequate. 5 III. 6 7 dismissed. 8 DATED this 23<sup>rd</sup> day of February, 2015. 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26

**CONCLUSION** Based on the legal and factual deficiencies described above, the Petition should be

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> LYNDSEYM.

Deputy Prosecuting Attorney Attorney for Mark Roe